

## Appendix A: AEB (& Mutliply) Table of delegations

Highlighted text illustrates the Multiply additions to the AEB arrangements.

	Decision	Decision maker	Rationale
1	<p>To approve or vary the AEB Strategy as required, including:</p> <ul style="list-style-type: none"> <li>Interim review 2021 (aligning to Mayoral priorities)</li> <li>Refresh 2024</li> </ul> <p>To approve or vary the Multiply strategy (via Local Investment Plan)</p>	Combined Authority	<p>The WY AEB Strategy and Multiply Local Investment Plan govern funding principles and will be revised in line with governance and assurance processes.</p> <p>Conflicts of interest are managed under the Members' Conflicts of Interest policy.</p>
2	<p>To agree the governance arrangements for AEB or Multiply functions</p>	Combined Authority	<p>The Combined Authority is responsible for agreeing how its functions, including AEB functions, are discharged.</p>
3	<p>To approve the Funding Rules and Performance Management Framework</p> <p>For Multiply, to approve funding agreements and performance parameters for each workstream approved in the Local Investment Plan (Funding rules for Multiply/UKSPF as defined by DfE and Investment Plan)</p>	Chief Executive Officer	<p>The Rules and Framework are part of the suite of contractual documents, and are therefore operational in function.</p> <p>The documents set out clear parameters for contractual decisions, which can appropriately and effectively be carried out by officers in line with the strategic direction from the Combined Authority.</p> <p>The Chief Executive Officer may refer this approval to the Employment and Skills Committee for recommendations, where wider sector expertise and consultation is desired.</p> <p>Members will need to ensure that conflicts of interest are declared, however a</p>

			<p>dispensation may be granted to allow a balanced and informed discussion</p> <p>For Multiply: the Chief Executive Officer will receive advice and recommendation from the UKSPF Local Partnership Group on workstream details.</p>
4	<p>To reallocate budget in response to underperformance / over performance outside performance management framework.</p> <p>For Multiply, to reallocate budget inside parameters set within the Local Investment Plan</p>	Chief Executive Officer	<p>Decision on funding usage, in line with strategic direction.</p> <p>The Chief Executive Officer may refer this approval to the Employment and Skills Committee (for Multiply, the UKSPF Local Partnership Group) for recommendations, where wider sector expertise and consultation is desired.</p> <p>Members will need to ensure that conflicts of interest are declared, however a dispensation may be granted to allow a balanced and informed discussion.</p>
5	<p>To make interim changes to the policy and / or AEB Strategy in response to emerging economic needs, crisis responsiveness or similar.</p>	Employment and Skills Committee	<p>Decision on interim measures that affect operation, responding to economic needs in line with sector intelligence.</p> <p>Members will need to ensure that conflicts of interest are declared, however a dispensation may be granted to allow a balanced and informed discussion.</p> <p>The Employment and Skills Committee may refer this approval to the Combined Authority with a recommendation, if there is a significant deviation from the WY AEB Strategy.</p>
6	<p>To determine Grant Agreement Allocations &amp;</p>	Chief Executive Officer	<p>Grant allocation amounts will be determined in accordance the</p>

	Procurement values – annually agreed in March for AEB (once West Yorkshire allocation confirmed)		<p>methodology outlined in the AEB Strategy and Multiply Investment Plan as approved by the Combined Authority.</p> <p>Approval of top line procurement values by the Chief Executive Officer while ensuring clear methodology has been followed which aligns to the Strategy.</p> <p>For Multiply, the Chief Executive Officer may refer to LPG for recommendations and scrutiny, where wider sector expertise and consultation is desired.</p>
7	To agree contracts for Services awarded through procurement and for AEB in March annually based on performance management framework.	<p>&gt; £1m – Chief Executive Officer</p> <p>&lt; £1m –</p>	In accordance with the AEB Strategy, Combined Authority Procurement Strategy, Funding Rules, Performance Management Framework, and Contracts Standing Orders
8	<p>New procurement rounds:</p> <ul style="list-style-type: none"> <li>Planned responsiveness pot</li> <li>Refresh after 4 years</li> </ul> <p>Bringing on new providers in light of provider base failure</p>	<p>&gt; £1m – Chief Executive Officer</p> <p>&lt; £1m – Director of Economic Services</p> <p>Advised by AEB Performance Group, UKSPF LPG (Multiply) and ES&amp;C</p>	<p>In accordance with the AEB Strategy, as approved by the Combined Authority.</p> <p>E&amp;SC or the UKSPF Local Partnership Group may make recommendations to the Combined Authority on any such decision.</p> <p>Conflicts of interest are managed under the Members' Conflicts of Interest policy.</p>
9	To approve or vary the AEB Procurement Strategy	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	In accordance with the AEB Strategy and with the Combined Authority's Procurement Strategy
10	To approve overperformance and contract Growth requests	Sub delegated from the Chief Executive Officer to the Director of Economic Services /	In accordance with the mechanism set out in Contracts, Funding Agreements, and/or Performance Management Framework.

		Head of Employment and Skills	<p>Technical expertise and performance data available at AEB Performance Group level.</p> <p>For Multiply, officers may refer to LPG for recommendations, where wider sector expertise and consultation is desired.</p>
11	Underperformance and contract reduction / termination	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	<p>Clear mechanism will be outlined in Contracts, Funding Agreements, and/or Performance Management Framework.</p> <p>Technical expertise and performance data available through the AEB Performance Group.</p> <p>For Multiply, officers may refer to LPG for recommendations, where wider sector expertise and consultation is desired.</p>
12	To approve Funding clawback	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	<p>Clear mechanism will be outlined in Contracts, Funding Agreements, and/or, along with Audit and Assurance requirements .</p> <p>Technical expertise and performance data available at AEB Performance Group level.</p>
13	To agree Delivery plans agreed annually with providers variation approval based on performance and/or responsiveness	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	<p>Expertise regarding technical delivery and alignment to strategy available from the AEB Performance Group.</p> <p>Will deliver strategic aims as set by the Combined Authority.</p> <p>Employment and Skills Panel will be informed of any decisions, in line with Conflicts of Interest policy.</p>

			For Multiply, officers may refer to LPG for recommendations, where wider sector expertise and consultation is desired.
14	To add subcontractors to delivery plans within year upon request annually	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	<p>Expertise regarding due diligence and strategic appropriateness is available from the AEB Performance Group.</p> <p>In accordance with the Funding Rules which outline strict requirements regarding subcontracting practice.</p> <p>Responsiveness required in year to ensure delivery timescales are met</p>
15	To approve subcontracting where not already approved under current ESFA regulations. ESFA guidance available <a href="#">here</a> .	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	<p>Expertise regarding due diligence and strategic appropriateness available from the AEB Performance Group. Funding rules outline requirements regarding subcontracting practice.</p> <p>Responsiveness required in year to ensure delivery timescales are met.</p>
16	To make minor technical or process driven amendments to the Funding Rules (for Multiply: contracts or funding agreements) in line with strategic direction set by the Combined Authority.	Sub delegated from the Chief Executive Officer to the Director of Economic Services / Head of Employment and Skills	<p>Any amendments must remain in accordance with the AEB Strategy, as approved by the Combined Authority.</p> <p>Swift decisions needed to minimise any impact of responsiveness on delivery – decisions are predominantly technical, and process driven.</p>